

PATENT
Attorney Docket No. 57002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
DAVID R. CHERITON

Group Art Unit: 2661

Application No. 09/981,125

Examiner: RAO, SEEMA SRINIVAS

Filed: October 16, 2001

For: Method And Apparatus For Ingress Port
Filtering for Packet Switching Systems

RECEIVED

MAR 01 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted for consideration by the Examiner in the examination of the above-identified patent application. The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of each of the references.

A bona fide attempt is made to comply with §§ 1.97-98. The filing of this information disclosure statement should not be construed as a representation that a search has been made, nor is it to be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b). Additionally, the submission of this IDS is for the purpose of providing a complete record and is not a concession that the references are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so. Furthermore, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

In re DAVID R. CHERITON
Serial No. 09/981,125

The Information Disclosure Statement is being filed:

- ☒ Under § 1.97(b): (1) within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); (2) within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.
- ☐ Under § 1.97(c): after (1), (2), or (3) above (i.e., after paragraph (b)) and before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by:
- ☐ the statement specified in 37 C.F.R. § 1.97(e):
 - ☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 - ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
 - ☐ the fee set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).
- ☐ Under § 1.97(d): after the period specified in § 1.97(c) and before payment of the issue fee, and is accompanied by:
- ☐ the statement specified in 37 C.F.R. § 1.97(e):
 - ☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 - ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
 - ☐ the fee set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).

In re DAVID R. CHERITON
Serial No. 09/981,125

Copies Of The References

- ☒ Enclosed herewith are copies of the references listed on the enclosed Form PTO-1449. A concise explanation of the relevance, as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, of each patent, publication, or other information listed that is not in the English language is included either separate from applicant's specification or incorporated therein. Furthermore, a copy of the translation of a non-English language reference is included herewith if a written English-language translation of a non-English-language document, or portion thereof, is within the possession, custody, or control of, or is readily available to any individual designated in § 1.56(c).
- ☐ A copy of a foreign search report is enclosed herewith.
- ☐ Per 37 C.F.R. § 1.98(d), copies of the references listed on the enclosed Form PTO-1449 are not provided as they were previously submitted to, or cited by, the Office in an earlier application, and (1) the earlier application is properly identified in the information disclosure statement and is relied on for an earlier effective filing date under 35 U.S.C. 120; and (2) the information disclosure statement submitted in the earlier application complies with paragraphs (a) through (c) of this 37 C.F.R. § 198. The details of the parent application(s) relied upon for an earlier filing date under 35 U.S.C. § 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.				
2.				
3.				

In re DAVID R. CHERITON
Serial No. 09/981,125

Fees

☒
☐

No fee is owed by the applicant(s).

The IDS Fee of \$180.00 is due.

☐

Attached is check No. in the amount of \$180.

☐

Charge Deposit Account No. 501430 in the amount of \$180.

Authorization To Charge Additional Fees and Credit any Overpayment

☒

If any additional fees are owed in connection with this communication, please charge
Deposit Account No. 501430.

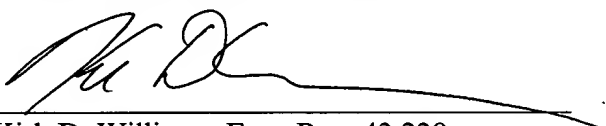
☒

Credit Account No. 501430 for any overpayment in connection with this communication.

Date: February 21, 2002

Respectfully submitted,

The Law Office of Kirk D. Williams

By 

Kirk D. Williams, Esq., Reg. 42,229

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303-282-0151

303-778-0748 (facsimile)

FORM PTO-1449
MODIFIED

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Application Number	09/981,125
Filing Date	October 16, 2001
First Named Inventor	David R. Cheriton
Group Art Unit	2661
Examiner Name	Rao, Seema Srinivas
Attorney Docket No.	57002

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U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	Cite No.	Document Number	ISSUE or PUBL. DATE (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document
	AA	US - 5495426	02-27-1996	Waclawsky et al.
	AB	US - 5612897	03-18-1997	Rege
	AC	US - 6032194	02-29-2000	Gai et al.
	AD	US - 6188694	02-13-2001	Fine et al.

OTHER DOCUMENTS

EXAMINER'S INITIALS	Cite No.	